

RAIL EXPERTS

5 STEP GUIDE

TO BREEZE
YOUR RISQS
AUDIT
LIKE A PRO

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YOUR

TRACK

TO

SUCCESS

SWIPE

TO

LEARN

MORE



Don't Lose Sleep Over RISQS Audits

RISQS audits are high-stakes – fail, and you risk fines, lost contracts, and damaged credibility.

This guide gives you a **5-step system** to pass with ease.

Plus, see how IMS automates compliance, saving you time and stress so you can focus on growth.

Why RISQS Audits Are a Nightmare

Scattered Documentation



Paper-based or unorganised sharepoints holding records make it nearly impossible to prove compliance quickly

Admin Overload



Audit Prep can take weeks of manual effort, pulling you away from strategic priorities and draining your resources

Lack of Accountability



Without clear audit trails, it's hard to demonstrate adherence to safety and compliance tasks, leading to non-conformances



Fatigue Management



Fatigue standard NR/L3/003/OH is a key RISQS audit point – yet still a manual task/spreadsheet for most firms

Training and Competency Gaps



Missing or expired training, certs, or right-to-work docs? That's a compliance fail auditors will catch

But They Dont Have to be...



5 Steps to breeze your audit

Simply follow the following guide to stay compliant, automate the process, and ace your RISQS audit



Step

1

Centralise your Documents

WHY?



Many companies have gone digital with tools like SharePoint or Dropbox, thinking it's efficient - and compared to paper records, it is.

But fully managed document systems, especially those tailored for rail, take things further. They save time, streamline workflows, and crucially, ensure you're audit-ready for ISO and RISQS compliance.



Use a digital document management system to store all records in one place, with automated revision control to ensure you're always using the latest versions. capturing evidence on tablets for field engineers makes the integration from office to site seamless. Digital acknowledgements are widely accepted by RISQS auditors



How IMS Helps: IMS's Document Control feature holds a master document register, aligns with RISQS procedures, and ensures your digital site packs are always up to date - even accessible offline on tablets for site supervisors, using smart automation to save you time and money whilst ensuring compliance



Step

2

Automate Training & Competency Tracking

WHY?



Auditors will verify that all staff have valid training, certifications, and right-to-work documents, a requirement for Sentinel Scheme sponsors and high-risk RICCL code suppliers. Missing or expired records can lead to non-compliance.



Implement a system to track training records, send notifications for expiring certifications, and store digital copies of all documents.



How IMS Helps: IMS's Training & Competency module tracks all staff records, sends email alerts for expiring courses, and provides a live training matrix. HSQE staff can verify training on-site via QR-ID scans on the mobile app.

Step

3

Ensure Fatigue Management Compliance

WHY?



Fatigue management is a key focus of RISQS audits, especially the planning element with standards like NR/L3/003/OH. Non-compliance can result in fines or safety incidents, and auditors will expect detailed records of staff hours and fatigue protocols.



Use a system to monitor staff hours, enforce fatigue protocols, and maintain audit-ready reports, if your currently managing this on a spreadsheet you are burning money and resources in unnecessary admin.



IMS's Fatigue Management feature integrates with timesheets and labour rostering to enforce compliance, with built-in review processes and reporting tools to prove adherence during audits.

Step

4

Streamline Safety and Incident Reporting

WHY?



RISQS auditors will look for evidence of proactive safety management, including incident reporting and corrective actions, as required by protocols like Construction Design and Management (CDM) and Sentinel audits.



Digitise safety reporting to capture near-misses and incidents in real time, with analytics to identify trends.



IMS's Good Call Close Call feature allows targeted observation reporting across all platforms (or via QR scan), with analytics to track incident statistics and custom categories for detailed insights.

Step

5

Prepare Audit-Ready Reports and Audit Trails

WHY?



RISQS auditors require clear, organized evidence of compliance, including audit trails for accountability, especially for high-risk activities on Network Rail's infrastructure. Manual reporting can take hours—or even days.



Use a system that generates compliance reports instantly, with full audit trails for accountability.



IMS provides audit-ready documentation with revision control, audit trails (e.g, in timesheets, labour assignment), and custom reports to present to auditors in minutes, not days.



Next Steps....

By following this framework, you'll not only pass your RISQS audit but also save time, reduce stress, and ensure compliance year-round.

Is there an easier way?

Keep reading to discover how the IMS System can take the stress out of audits—automating the process, saving your team weeks of prep time every year, and freeing them up to focus on what really drives revenue.

Curious how much easier your next audit could be?

Dont just take my word for it....

Rail Companies, Real Results



Compliance Executive
Frankie Galloway

"IMS has been an invaluable software in assisting with our company audit. The reliability of the system combined with the professional support from Oliver made the entire process seamless and stress-free. Oliver provided clear guidance, ensured compliance, and helped us identify areas for improvement. We truly appreciate the dedication and support, and highly recommend IMS to other small businesses looking for a useful and effective CRM."



HSQE Manager
Greg England

IMS has been instrumental for our audits, centralising all our procedures in one platform and cutting our audit prep time from 1 month to just 3 days—a saving of over 20 working days per audit! The intelligent notifications streamline incident close-outs, ensuring we stay on top of issues efficiently, which has significantly strengthened our ISO, Sentinel, and NICEIC compliance. We've also reduced compliance-related admin costs by nearly £8K annually, allowing our team to focus on delivering projects

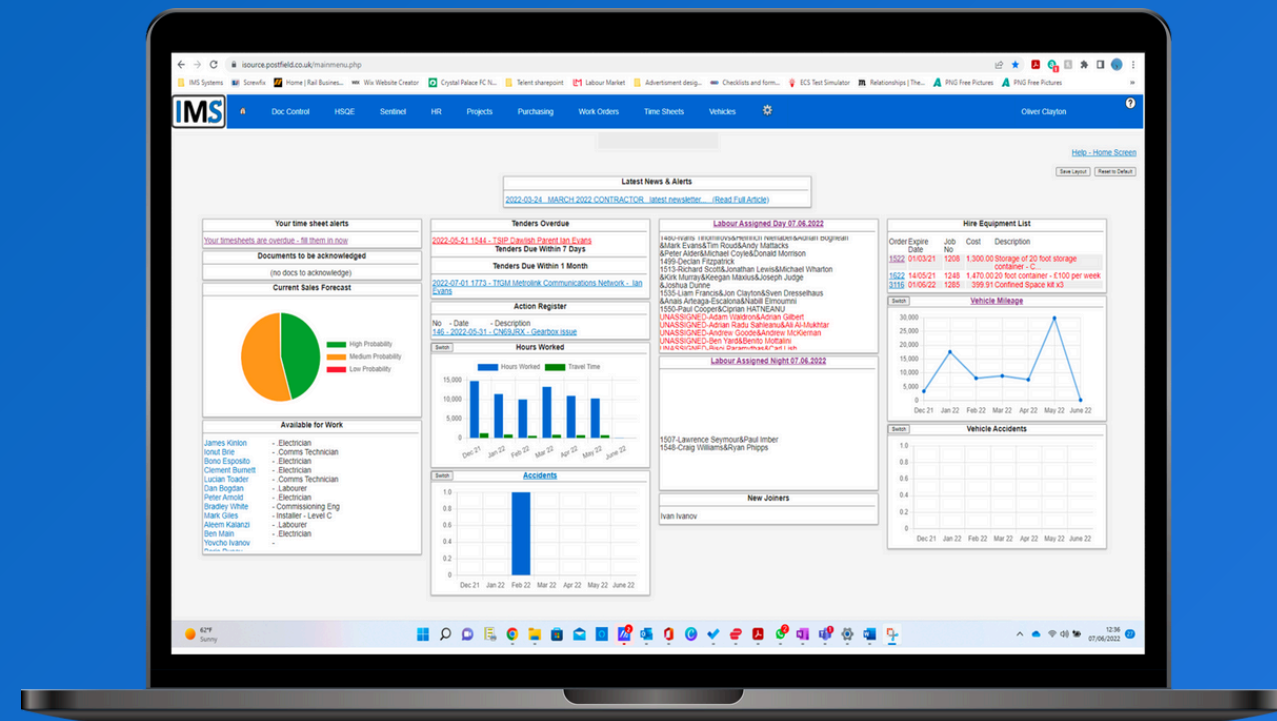


If thats not enough.. Other clients who have taken the leap to work with us.

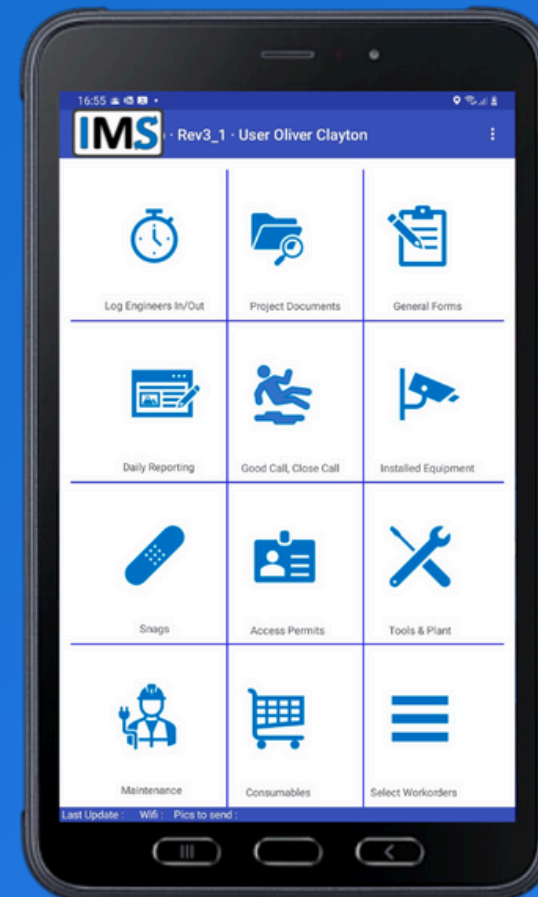


Fast Results

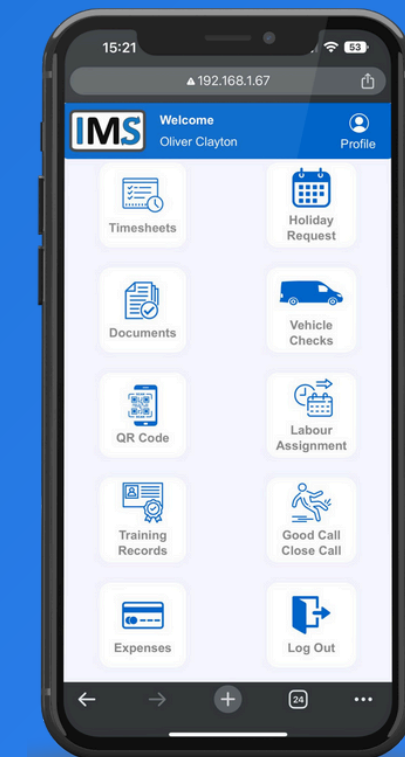
IMS Delivers ROI in 90 Days



Administration and Management



Site Supervisors



Operatives

Week 1

System Setup & Configuration

Week 2-3

Team Training & Process Automation

Week 4

Full Integration & Operational Gains

90 days

Full System Optimisation & Profitability

Customised Training inline with your own personal priorities roadmap



Why Act Now!



3-Month Money-Back Guarantee

If you don't see results, you don't pay.



Exclusive Slots

Only 5 Spots Available for new clients this month



Pain Free Onboarding

Automated speedy onboarding in just 10 days.



No Hidden Costs – 1 simple subscription

Fixed Rate guaranteed for 2 years

Still Unsure? Let's Make It Easy. No Risk, No Commitment – Just See What's Possible.



Book Free Consultation



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